EXPRESS ...AIL NO.: EV064838888US



**Title of Invention** 

PTO/SB/01A (10-00).

Approved for use through 10/31/2002. OMB 0651-0032. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

COBALT-PORPHYRIN COMPLEXES AND USE THEREOF AS AN ANTI-

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

	OBESITY AGENT		
As the below named inventor(s), I/we declare that: This declaration is directed to:			
The attached application, or			
X App	lication No. 10/020,867, filed on Decemb	ber 14, 2001,	
[] a	s amended on (if applicable);		
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;			
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;			
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and			
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.			
FULL NAME OF	INVENTOR(S)		
Inventor one:	Tomas R. Szabo	Citizen of:	Sweden
Signature:	Former State	Date:	3/4/02
Inventor two:	Soumitra S. Ghosh	Citizen of:	U.S.
Signature:	brants the	Date:	3/6/02
Inventor three:	Robert E. Davis	Citizen of:	U.S.
Signature:	Kobert & Jaurs	Date:	7/10/02
Inventor four:		Citizen of:	
Signature:		Date:	
☐ Additional inventors are being named on additional form(s) attached hereto.			

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231. Docket No. 660088.415

Please type a plus sign (+) inside this box

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**ELECTION AND POWER OF ATTORNEY OR** 

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	Application Number	10/020,867
<b>ELECTION AND POWER OF</b>	Filing Date	December 14, 2001
ATTORNEY OR	First Named Inventor	Tomas R. Szabo
AUTHORIZATION OF AGENT	Group Art Unit	
AUTHORIZATION OF AGENT	Examiner Name	
	Attorney Docket Number	660088.415

I hereby appoint:  ☑ Practitioners at Second  OR  ☐ Practitioner(s) name	ed IP Law Group PLLC ed below:	<b></b>	00500 PATENT TRADEMARK OFFICE
	Nome	Donistration N	lumbar
as my/our attorney(s) of Trademark Office conf	Name or agent(s) to prosecute the application ide	Registration N	
Discourable and	deserted the short ideals	C. J	
The above-mentione OR	respondence address for the above-identified Customer Number.	fied application to:	
Firm <i>or</i> Individual Name			
Address			
Address			
City	St	ate	ZIP
Country			
Telephone	F	ax	
I am the:  Applicant/Inventor.  Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  As assignee of record of the entire interest hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor			
SIGNATURE of Applicant or Assignee of Record			
Name Thomas G. Sanders, Ph.D.			
Signature Sunder			
Date Anril 11, 2002			
NOTE: Signatures of all the inventors of assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.			
*Total of forms are submitted.			
	s form is estimated to take 3 minutes to comple	ete. Time will vary depending	upon the needs of the individual case. Any

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259628 [01-14-01]

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EXPRESE MAIL NO. EV0648388888US PTO/SB/96 (08-00)

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**STATEMENT UNDER 37 CFR 3.73(b)** 

<u> </u>	ILINI ONDER OF OF ICO. FOLD
Applicant/Patent Owner:Tomas I	R. Szabo et al.
Application No./Patent No.: 10/020,8	67 Filed/Issue Date: December 14, 2001
Entitled: COBALT-PORPHYRIN CON AGENT	IPLEXES AND USE THEREOF AS AN ANTI-OBESITY
MitoKor (Name of Assignee)	, a <u>corporation</u> (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:	
1. X the assignee of the entire right, t	
2. an assignee of an undivided par	t interest
in the patent application/patent identific	•
The assignment was recorded in Reel, Frame, or for OR  B. A chain of title from the inventored	r(s) of the patent application/patent identified above.  In the United States Patent and Trademark Office at the united acopy thereof is attached.  (s), of the patent application/patent identified above, to the
current assignee as shown below	N:
1. From:	То:
	n the United States Patent and Trademark Office at for which a copy thereof is attached
2. From:	То:
	n the United States Patent and Trademark Office at for which a copy thereof is attached
3. From:	To:
	n the United States Patent and Trademark Office at for which a copy thereof is attached.
Additional documents in the ch	nain of title are listed on a supplemental sheet.
	uments in the chain of title are attached.
[NOTE: A separate copy (i.e., the original as submitted to Assignment Division in accorda of the USPTO. See MPEP 302.8]	signment document or a true copy of the original document) must be nce with 37 CFR Part 3, if the assignment is to be recorded in the records
The undersigned (whose title is supplied	ed below) is authorized to act on behalf of the assignee.
Annol 11 2002	Thomas G. Sanders, Ph.D.
Date /	Typed or printed name
	Grande G. Sangles
•	Signature
	Vice President of Busin ss Development

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Docket No. 660088.415 259629 [09-14-01]



## **ASSIGNMENT**

WHEREAS, we, Tomas R. Szabo, Soumitra S. Ghosh, and Robert E. Davis (hereinafter referred to as ASSIGNORS), having mailing addresses of 3785 Third Avenue, San Diego, California 92103; 12334 Pathos Lane, San Diego, California 92129; and 13272 Glencliff Way, San Diego, California 92130, respectively, are the joint inventors of an invention entitled "COBALT-PORPHYRIN COMPLEXES AND USE THEREOF AS AN ANTI-OBESITY AGENT," as described and claimed in the specification for which an application for United States letters patent was filed on December 14, 2001 and assigned Application No. 10/020,867. This application claims the benefit of Provisional Application No. 60/255,960, filed on December 15, 2000.

WHEREAS, MitoKor (hereinafter referred to as ASSIGNEE), a corporation of the State of California having a place of business at 11494 Sorrento Valley Road, San Diego, California 92121, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United

States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

7/2/20	
3/4/02 Date	Tomas R. Szabo
- 1	Tomas R. Szabo
State of California	
County of San Diego	) ss. ))
J <sub>.</sub>	
I certify that	I know or have satisfactory evidence that Tomas R. Szabo is the
person who appeared before	e me, and said person acknowledged that he signed this instrument
and acknowledged it to be h	is free and voluntary act for the uses and purposes mentioned in the
instrument.	
	Dated Morch 4, 200 2
ALICE A. FODE: Commission () 120/1553	Signature of Notary Public Alice A. Rozler
Notary Funds - Common of Son Disgra County  Son Disgra County  My Comm. By its 141 22, 2004	Printed Name Alice A. Roder
	My appointment expires July 22, 2004
mash 6,2802	fruit his
Date	Soumitra S. Ghosh
State of <u>California</u>	) ) ss.
0 0 00 11 11 11 11 11	

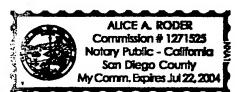
I certify that I know or have satisfactory evidence that Soumitra S. Ghosh is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

~~~	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
	ALICE A. RODER
Det of	Commission # 1271525
	Notary Public - Colifornia
The state of the s	Son Diago County
	Wy Comm. Espires Jul 22, 2004
/ <del></del>	~~~~~~

Signature of Notary Public Printed Name Alice My appointment expires  $\subseteq$ July 22

April 10,2002	Polest & Jaws
Date\ '	Robert E. Davis
State of <u>California</u> ) County of <u>San Diego</u>	SS.

I certify that I know or have satisfactory evidence that Robert E. Davis is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.



Signature of
Notary Public Ahce A. Roder

Printed Name Alice A. Roder

My appointment expires July 22, 2004.

259638 Docket Number 660088.415